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## AUTHORSHIP & COPYRIGHT ROYALTY AGREEMENT

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IPX Approval No:

### I. Claim to Ownership:

- Type of Work:**     is a work for hire     is supported by direct allocation of funds through the University  
 is commissioned by the University     makes significant use of University resources and/or personnel  
 is otherwise subject to contractual obligations  
 is the individual's personal work, for which an agreement or terms have been reached

Please note that this form is NOT for the compensation of services. Such compensation should be processed using established Auburn University procedures.

Author Name:					
Author Job Title:					
Author Email:					
Author Banner ID:					
Author Residential Street Address:					
City:		State:		Zip Code:	
Author AU Department:					
AU Department Contact Name:					
AU Department Contact Email:					

Co-author(s):     Yes     No

*(If yes, provide co-author name and attach co-author agreement)*

Co-author Name (s):	
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Physical Description of Work / Materials: (additional information may be attached on a separate sheet)

## II. Author Agreement:

Royalty Payments: The Internal Revenue Service defines a royalty as a payment for the right to use some type of intangible property, and that definition does not include payments for services. Royalties received for the creation or use of copyrighted materials or patents are taxable as ordinary income. Royalties paid to you in excess of \$10 are required to be reported in Box 2 of Form 1099-Misc per the Internal Revenue Service.

Transfers to video accounts, similar FOAPs, and budget transfers: Payments of royalties in the form of transfers to video accounts and similar FOAPS and budget transfers cannot be tracked for tax reporting purposes. In order to ensure that the correct amount of royalties paid to you during the tax year is reported on Form 1099-Misc, all royalty payments must be processed through PPS using account code 70530.

Note: Compensation for providing services must be paid through Payroll and reported on Form W-2.

*Author, as named in Section I of this Agreement, acknowledges that his/her work, as defined by and consistent with applicable laws and regulations and the above explanation of such laws and regulations, is the property of Auburn University who is governed in the handling of intellectual property by its official policies titled Auburn University Patent Policy as well as the Copyright Policy, and agrees to assign and hereby assigns and confirms in writing to AU all right, title, and interest, including associated copyright, in and to copyrightable materials, as part of his/her employment, unless work has been determined to be the author's personal work, and then such agreement or terms agreed upon shall stand as binding between Auburn University and Author. Author further agrees with royalty terms as stated within Section III of this Agreement.*

\_\_\_\_\_  
Author Signature

\_\_\_\_\_  
Date of Signature



**III. Royalty Terms:** Please provide specific agreement detail and attach an explanation and/or copy of all relevant written agreements.

**IV. Royalty Agreement & Approval to Pay:**

Department Head / Chair Signature: \_\_\_\_\_

Date of Signature: \_\_\_\_\_

College / School Dean Signature: \_\_\_\_\_

Date of Signature: \_\_\_\_\_

Upon approval by signature of the originating department's head/chair faculty member and the appropriate dean, the original Agreement should be forwarded to The IP Exchange (IPX), 570 Devall Drive, Suite 102, Auburn, AL 36832. IPX is responsible for obtaining approval, by signature, from the VP for Research & Economic Development and the VP, Business & Finance and CFO.

Request for royalty payment may not be made prior to receiving the IPX approval number.

Copies of approved Agreements will be returned to the originating department contact, as indicated above, for submission and request for payment of royalty payments and may be utilized for verification and authorization of royalty distributions as submitted via the AU eVendor Voucher system and upon approval by Procurement and Payment Services.

VP for Research & Economic Dev. Signature: \_\_\_\_\_

Date of Signature: \_\_\_\_\_

VP, Business & Finance and CFO Signature: \_\_\_\_\_

Date of Signature: \_\_\_\_\_

*Changes and/or additions to the IPX Authorship & Copyright Royalty Agreement (ACRA) are not allowed. Any changes made to the format and/or content of any ACRA submitted for approval will result in a return of the submitted agreement without approval.*